

## NAV 12 NONCONFORMING MATERIAL CONTROL / CORRECTIVE ACTION

**Applicable Standards**  
**AS 9100 / ISO 9001 / MIL-I-45208**

1. Does the supplier have a documented procedure for dealing with nonconforming product to prevent its unintended use or delivery?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
2. Does the procedure define the identification and segregation controls for nonconforming product?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
3. Does the procedure define the related responsibilities and authorities for dealing with nonconforming product?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
a. Does the procedure define the responsibility and authority for review and disposition of nonconforming product?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
b. Does the procedure define the process for approving personnel making these decisions?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
4. Is nonconforming product clearly identified to the applicable reject document (e.g. nonconforming material report, corrective action report)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
5. Is scrap product conspicuously and permanently marked, or controlled until physically rendered unusable?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
6. Is nonconforming product segregated from other product to prevent unintended use or delivery?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
7. Are the holding areas adequate for the segregation and temporary storage of nonconforming product?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
8. Does the supplier's control provide for the timely reporting of delivered nonconforming product?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>

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9. Is rejection documents dispositioned by authorized personnel only?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
10. Does nonconforming material documentation include waiver/deviation results?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
11. Have "use as is" and/or repair dispositions been submitted to the government/customer for concurrence/approval as required?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
12. When nonconforming product is corrected, is it subjected to re-verification to demonstrate conformity to the requirements?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
13. Are records of the nature of nonconformities and subsequent actions taken maintained?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
14. Does the supplier have documented procedures to eliminate the causes of nonconformities in order to prevent recurrence?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
15. Does the procedure define the requirements for:	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
a. Reviewing nonconformities <b>(Including Customer Complaints)</b>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
b. Determining the causes of nonconformities	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
c. Evaluating the need for action to ensure that nonconformities do not recur	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
d. Determining and implementing action needed	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
e. Determining and implementing action needed	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
f. Recording the results of action taken	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>
g. Reviewing the effectiveness of the corrective action taken	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input type="checkbox"/>

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<p>h. Flowing down corrective action requirements to a subcontractor when it is determined that the subcontractor is responsible for the nonconformity</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input type="checkbox"/></p>	<p>N/A <input type="checkbox"/></p>
<p>i. Specific actions where timely and/or effective actions are not achieved</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input type="checkbox"/></p>	<p>N/A <input type="checkbox"/></p>
<p>j. Determining if additional nonconforming product exists based on the causes of the nonconformities and taking further action when required</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input type="checkbox"/></p>	<p>N/A <input type="checkbox"/></p>
<p>16. Review and record a sample of records to substantiate compliance with 15a through 15i.</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input type="checkbox"/></p>	<p>N/A <input type="checkbox"/></p>
<p>17. Does the supplier monitor trends, cost data and other indicators of performance?</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input type="checkbox"/></p>	<p>N/A <input type="checkbox"/></p>
<p>18. Does the supplier monitor subcontractors for trends, cost data or other indicators of performance?</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input type="checkbox"/></p>	<p>N/A <input type="checkbox"/></p>
<p>a. Is the above data used for subcontractor award determination?</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input type="checkbox"/></p>	<p>N/A <input type="checkbox"/></p>
<p>19. Does the corrective action program extend to all areas of activity within the supplier's organization (e.g. design, purchasing, manufacturing, etc)?</p>	<p>Yes <input type="checkbox"/></p>	<p>No <input type="checkbox"/></p>	<p>N/A <input type="checkbox"/></p>

Additional concerns/comments: