# **NAV 09 CONTROL OF SUBCONTRACTORS/FLOW-DOWN OF CUSTOMER REQUIREMENTS**

***Applicable Standards***

***MIL-I-45208, MIL-Q-9858, DI-MISC-81020, NAVSEA 0948-LP-045-7010***

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| 1. Do the supplier's procurement procedures contain requirements that materials and workmanship forming part of any subcontracted order, may be inspected and/or tested at all times and places by their customer and/or Government Inspector? | Yes No |
| 1. Do the supplier's procurement procedures contain requirements that the customers contract or purchase order takes precedence in conflicts and disputes, including existing specification requirements? | Yes No |
| 1. Do the supplier's procurement procedures contain requirements that assure disposition of Waiver Requests or Vendor Information Requests (VIR) for interpretations, drawing or spec changes, nonconformance acceptance and repair welding authorization by the customer? | Yes No |
| 1. Do the supplier's procurement procedures ensure that special processes (e.g. welding and NDT procedures and radiographic film) requiring customer approval are submitted to the customer before subcontracted manufacturing or inspection begins? | Yes No |
| 1. Is material purchased to commercial specifications used in military   applications? | Yes No |
| * 1. Are overarching fabrication and inspection requirements such as MIL-STD-278 and MIL-STD-271 invoked by contracts? | Yes No N/A |
| * 1. Are commercial products “upgraded” to meet military specifications? | Yes No N/A |
| * 1. Are all military specification requirements addressed by the “upgrade” process including procedure approvals, personnel qualifications, prohibitions and heat treatment requirements, etc.? | Yes No N/A |
| 1. Do the supplier's procurement procedures contain requirements that assure timely and effective corrective action responses from their subcontractors? | Yes No |
| 1. Do the supplier's procurement procedures contain requirements to prohibit contact with free and functional mercury for any component supplied to the Navy or Private Shipyard? (NAVSEA INST 5100.3) | Yes No |
| 1. Do the supplier's procurement procedures contain requirements that prohibit the usage of seamed pipe from subcontractors, when required by contract or specification? | Yes No N/A |
| 1. Do the supplier's procurement procedures contain requirements with regards to malpractice prevention? | Yes No N/A |
| * 1. Does the supplier perform follow-up to verify the implementation of malpractice prevention at the subcontractor’s facility? | Yes No N/A |
| 1. When appropriate, do the supplier's procurement procedures contain requirements with regards to security awareness and control of defense sensitive/ controlled information and technology from subcontractors? | Yes No N/A |
| * 1. Does the supplier perform follow-up to verify the implementation of security requirements at the subcontractor’s facility? | Yes No N/A |
| 1. Do the supplier's procurements procedures address material control, segregation of material, nonconforming material and material traceability for items subcontractors? | Yes No N/A |
| 1. Do the supplier's procurement procedures address performance of generic alloy identity testing to assure material is a specified metallurgical group? | Yes No |
| 1. Review a sample of purchase orders to suppliers and subcontractors to ensure the applicable above attributes are met.   Record the purchase order number and type of product purchased for the sample:  In addition, verify the following for each purchase order: | SAT UNSAT |
| * 1. Correct specification and drawing | SAT UNSAT |
| * 1. Revision control - Spec effectivity | SAT UNSAT |
| * 1. Quality level | SAT UNSAT |
| * 1. Required certifications are specified along with required content and format. (e.g. DI-MISC-81020, EB Standard Clause 76-78, 76-80, or 76-82). | SAT UNSAT |
| * 1. Record retention | SAT UNSAT |
| * 1. Traceability and marking requirements, if required | SAT UNSAT |
| 1. Does a Supplier/Subcontractor evaluation system exist? | Yes No |
| * 1. Are Onsite assessments part of the subcontractor evaluation | Yes No N/A |
| * 1. Are all subcontractors whose material will be incorporated into the final product or may impact the quality of the final product listed on an approved subcontractor list? (i.e. raw material suppliers, testing services, calibration services, calibrated instrument suppliers, welding or other special services) | Yes No N/A |
| 1. Are performance data, cost data or other indicators used in determining contract award? | Yes No |
| * 1. Identify criteria used |  |

Additional concerns/comments: